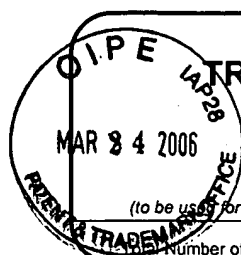


2616 (Fu)

PTO/SB/21 (09-04)



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Number of Pages in This Submission

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/757,802        |
| Filing Date            | January 9, 2001   |
| First Named Inventor   | Yokio Isobe       |
| Art Unit               | 2616              |
| Examiner Name          | Vincent F. Boccio |
| Attorney Docket Number | 16869S-019500US   |

## ENCLOSURES (Check all that apply)

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO form SB08A (one page), copies of three cited references, copy of office action for JP2000-393264, Return Postcard |
|---|---|--|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                                    |
|--------------|------------------------------------|
| Firm Name    | Townsend and Townsend and Crew LLP |
| Signature    |                                    |
| Printed name | Robert C. Colwell                  |
| Date         | 3/20/06                            |

Reg. No. 27,431

## CERTIFICATE OF TRANSMISSION/MAILING

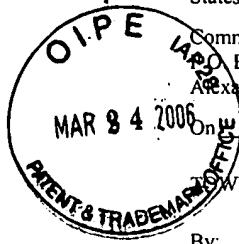
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |             |
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| Signature             |             |
| Typed or printed name | Roger Hyton |
| Date                  | 3/22/06     |

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PATENT  
Attorney Docket No.: 16869S-019500US  
Client Reference No.: E5678-01 EN



On MARCH 22, 2006

TOWNSEND and TOWNSEND and CREW LLP

By:

Roger Hyton

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Yokio ISOBE et al.

Application No.: 09/757,802

Filed: January 9, 2001

For: APPARATUS AND METHOD FOR  
RECORDING AND REPRODUCING  
INFORMATION

Examiner: Vincent F. Boccio

Art Unit: 2616

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the foreign patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of an office action dated December 20, 2005 issued by the Japan Patent Office (JPO) for the corresponding JPO application JP2000-393264.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Robert C. Colwell  
Reg. No. 27,431

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RCC:rnh  
60723081 v1



|  |   |                          |                   |                        |                 |
|--|---|--------------------------|-------------------|------------------------|-----------------|
| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   | <b>Complete if Known</b> |                   |                        |                 |
|  |   | Application Number       | 09/757,802        |                        |                 |
|  |   | Filing Date              | January 9, 2001   |                        |                 |
|  |   | First Named Inventor     | Yokio Isobe       |                        |                 |
|  |   | Art Unit                 | 2616              |                        |                 |
|  |   | Examiner Name            | Vincent F. Boccio |                        |                 |
| Sheet  | 1 | of                       | 1                 | Attorney Docket Number | 16869S-019500US |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | 1                     | 6,978,084   | 12-20-2005                     | Gotoh et al.                                       |   |
|                       | 2                     | 6,795,381   | 09-21-2004                     | Ando et al.  |   |
|                       | 3                     | 6,282,365   | 08-28-2001                     | Gotoh et al.                                       |   |
|                       |                       |   |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                   |                                |  |   |                  |
|--------------------------|-----------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|--|---|------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages<br>or Relevant Figures | T <sup>6</sup>   |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |  |   |                  |
|                          | 4                     | JP                        | 11-126436           | A                                 | 05-11-1999                     | Toshiba Corp.                                      |   | abstract<br>only |
|                          | 5                     | JP                        | 09-167447           | A                                 | 09-167447                      | Sony Corp.   |   | abstract<br>only |
|                          | 6                     | JP                        | 07-249270           | A                                 | 07-249270                      | Aiwa Co. Ltd.                                      |   | abstract<br>only |
|                          |                       |                           |                     |                                   |                                |  |   |                  |
|                          |                       |                           |                     |                                   |                                |  |   |                  |

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| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.